Multi-wavelength Observations of Coronal Structure and Dynamics: Yohkoh 10th Anniversary Meeting, Kailua-Kona, Hawaii, 21–24 January 2002



Coronal Holes and the Solar Wind

Steven R. Cranmer Harvard-Smithsonian Center for Astrophysics, Cambridge, MA



Background

- \longrightarrow definitions
- \longrightarrow major unanswered questions

Observations

- \longrightarrow coronal base (Yohkoh, EIT, CDS, SUMER)
- \longrightarrow extended corona (UVCS, LASCO)

Proposed heating and acceleration processes

Coronal Holes: Definitions

- Dark features first identified by Max Waldmeier (1950s) in 5303 Å greenline coronagraph images as "Löcher" (*holes*), "Rinne" (grooves), and "Kanal" (channels).
- ★ Coronal holes conjectured to be regions of open magnetic field by Wilcox (1968).
- Confirmed as sources of high-speed solar wind streams by Krieger, Timothy, and Roelof (1973).
- ★ The term "coronal hole" is currently applied to dark regions **both** on the solar disk and in off-limb coronagraph/eclipse images.



* Connectivity to the wind . . .



Unanswered Questions: Coronal Base

* Why are coronal hole **boundaries** as sharp as they appear to be?





t_{drive}

t_{transit}

 \star

★ Is the establishment of a coronal hole only a matter of closed vs. open flux filling factor, or are heating rates intrinsically different between holes and quiet regions?



* How is the **mass flux** of the high-speed wind determined and regulated?

⁽e.g., Bromage et al. 2000)

Unanswered Questions: Extended Corona

- * How much of the solar wind comes from coronal holes?
- ★ What are the **physical processes** responsible for heating and accelerating the fast solar wind?
 - ⇒ How and where are **fluctuations** (waves, turbulence, or shocks) generated and damped?

(e.g., how important is ion cyclotron resonance?)

- ⇒ What is the relative contribution from each fluctuation mode (Alfvén, fast, slow) and what propagation angles are most important?
- ⇒ Is "velocity filtration" of suprathermal particles important in the collisionless extended corona?
- * How important are filamentary inhomogeneities?
 - ⇒ To what degree to **polar plumes** contribute to the mass, momentum, and energy budget of the fast wind?
 - ⇒ Is even the "zero-order" mean state an adequate description of the dominant physics?



(e.g., DeForest et al. 1997)



To answer these questions, we need measurements of the **plasma properties . . .**



for electrons, protons, and minor ions, both at the base and in the acceleration region of the fast wind $(1-10 R_{\odot})$, as well as . . .

 \Rightarrow power spectra of MHD fluctuations!

Observations: Coronal Base

On disk: ρ and T lower than in quiet & active regions Off-limb: $T_{\rm e} < T_{\rm p} < T_{\rm ion}$

SUMER/SOHO spectroscopy:

- Blueshifted emission lines at the coronal base map out launching points of the high-speed wind (e.g., Hassler et al. 1999).
- ★ T_{ion} exceeds T_e at very low heights, and depends on ion charge-to-mass ratio (Seely et al. 1997; Tu et al. 1998).



Electron temperature controversy . . .



Observations: Extended Corona

- ★ Visible-light coronagraphs that observe the linearly polarized (Thomson-scattered) K-corona provide a direct diagnostic of the electron density from ~1.1 to ~30 R_{\odot} .
- Polar plumes trace the superradial divergence of the magnetic field in polar coronal holes (e.g., DeForest et al. 1997, 2001).



★ Assuming steady state flow, **mass flux conservation** provides the bulk (proton-electron plasma) outflow speed . . .



Mass flux conservation from n_e (*upper:* Fisher & Guhathakurta 1995; *lower:* Guhathakurta & Holzer 1994) and flux tube area (Banaszkiewicz et al. 1998). Range of IPS speeds from Grall et al. (1996); \mathbf{H}^0 and \mathbf{O}^{5+} speeds from Cranmer et al. (1999b).

Observations: Extended Corona

★ UVCS/SOHO has measured the properties of protons and minor ions in the wind's acceleration region:



Polar coronal holes at solar minimum:

- ★ Detailed analysis of line profiles and intensities allows us to deduce that H⁰ and O⁵⁺ have **anisotropic** distributions between 1.5 and 4 R_{\odot} in coronal holes (Kohl et al. 1997). For O⁵⁺, $T_{\perp}/T_{\parallel} \approx 10-100$.
- * For O^{5+} , T_{\perp} approaches 200 million K at 3 R_{\odot} . The kinetic temperatures of O^{5+} and Mg^{9+} are much greater than massproportional when compared with hydrogen (Kohl et al. 1998, 1999; Cranmer et al. 1999b; Esser et al. 1999).



 Doppler dimmed line intensities are consistent with the outflow speed for O⁵⁺ being larger than the outflow speed for H⁰ by as much as a factor of two (Li et al. 1998; Cranmer et al. 1999b).

Observations: Interplanetary space

 ★ Similar departures from thermal equilibrium have been observed at r > 0.3 AU for decades:

beam

 $\left\{egin{array}{ll} T_\perp \ > \ T_\parallel \ (T_{
m ion}/T_p) \ > \ (m_{
m ion}/m_p) \ u_{
m ion} \ > \ u_p \end{array}
ight\}$

* In high-speed wind streams (correlated with coronal holes),



Electrons: thermal "core" + beamed "halo"

* suprathermals conserve $\mu = (T_{\perp}/B)$

(see, e.g., Marsch 1999, Space Sci Rev., 87, 1)



- ★ μ grows ~linearly with distance (0.3–1 AU)
- \star beam flows ahead of core at $\Delta V \approx V_A$

Heavy ions:

flow faster than protons $(\Delta V \approx V_A)$

 $\star~(T_{
m ion}/T_{
m p})\gtrsim(m_{
m ion}/m_{
m p})$



(Collier et al. 1996, Geophys. Res. Letters, 23, 1191)

Heating the Extended Corona \rightarrow Solar Wind

Additional heating is required above 2 R_{\odot} . . .

- * The observed *in situ* T(r) gradient is shallower than if dominated by adiabatic expansion ($T \propto r^{-4/3}$).
- ★ Classical electron heat conduction (Chapman 1954) cannot be responsible for this supra-adiabaticity in *collisionless* plasma.
- * Magnetic moment (T_{\perp}/B) increases between 0.3 and 1 AU.
- * UVCS ∇T_p implies heating rate **per particle** of ~0.1 eV/s at 2 R_{\odot} , which is of the same order as the rate at the *coronal base!*

It's a very different environment from the base . . .

- * The plasma becomes collisionless.
- * "Laminar" open magnetic fields dominate over stochastic ensembles of closed loops:



- Energy for heating plasma must ultimately *propagate* up from the Sun; i.e., waves, shocks, turbulent fluctuations.
- Dissipation of the fluctuation energy must be collisionless; i.e., wave-particle resonances.

Fast wind: Is extended heating enough?

Compute a series of empirically based "quasi-Parker" models:

- \implies Specify $T_e, T_{p\parallel}, T_{p\perp}$ vs. radius $(u_p = u_e)$
- \implies Superradial polar geometry: Banaszkiewicz et al. (1998)
 - \Rightarrow NO collisions, wave pressure, heat flux, or viscosity



It is not yet clear if additional **momentum deposition** (from, e.g., wave pressure) is required (see also Tziotziou et al. 1998, A&A, 340, 203; for models based on *Yohkoh*/SXT temperatures).

Better proton temperature measurements between 2 and 10 R_{\odot} are needed!

Ion Cyclotron Resonance

- ★ 1970s-present: Preferential ion heating/acceleration and anisotropies (detected both *in situ* and remotely) led theorists to investigate the damping of parallel-propagating ion cyclotron waves.
- * Dissipation of ion cyclotron waves produces **diffusion** in velocity space, along contours of \sim constant energy in the frame moving with the wave phase speed. ($V_A \gg v_{th}$)



* Quasi-linear diffusion model for O^{5+} ions in a homogeneous plasma:



- ★ Anisotropy grows naturally as long as there is an energy supply of resonant waves in the corona (e.g., Cranmer 2001, *JGR*, 106, 24,937).
- * Ions are accelerated *along* field both by: (a) forward curvature of velocity distribution, and (b) by magnetic mirroring of high $-v_{\perp}$ ions.

How are Ion Cyclotron Waves Generated?

Alfvén waves with frequencies > 10 Hz have not yet been observed in the corona or wind, but ideas for their origin abound:

(1) **Base generation** by, e.g., "microflare" reconnection in the lanes that border convection cells (e.g., Axford & McKenzie 1997).



Problem: Low Z/A ions consume base-generated wave energy before it can be absorbed by, e.g., O^{5+} , He^{2+} , p^+ .

- (2) Secondary generation: The Sun is suspected to emit low-frequency (< 0.01 Hz) Alfvén waves. This source of "free energy" may be converted into ion cyclotron waves *gradually* throughout the corona.
 - \Rightarrow MHD turbulent cascade?
 - ⇒ Instabilities seeded by non-Maxwellian distributions or large-scale velocity shears?



Problem: Turbulence produces mainly high- k_{\perp} fluctuations (i.e., still low frequency). Ion cyclotron waves propagating parallel to the background field may comprise only a *small fraction* of the total fluctuation power!

Problems with Base Generation...

If high-frequency waves originate only at the base of the corona, extended heating "sweeps" across the spectrum:



However, *minor ions* can damp the waves as well:

$$\Omega_{\rm ion} = \frac{Z_{\rm ion}}{A_{\rm ion}} \Omega_{\rm p} , \quad P \approx P_0 e^{-\tau} , \quad \tau \approx 10^5 \left(\frac{m_{\rm ion} n_{\rm ion}}{m_{\rm p} n_{\rm p}} \right)$$

Cranmer (2000) computed τ for 2523 species at 2 R_{\odot} :



If ion cyclotron resonance is indeed the process that energizes high charge-tomass ratio ions, the wave power must be **gradually replenished** throughout the extended corona, and cannot come solely from the base.

Problems with Gradual Generation...

- * Most of the work on gyroresonance in the solar wind has been for waves propagating *along* the field (k_{\parallel}) .
- * However, both simulations and analytic descriptions of MHD turbulence predict cascade from small to large *perpendicular* wavenumbers (k_{\perp}) .

(Alfvénic fluctuations with large k_{\perp} do not necessarily have large $\omega \to \Omega_{ion}$)

 Perpendicular ("2D") turbulence does dissipate on the smallest scales, but this may not heat and accelerate ions preferentially.

(Landau damping in a low- β plasma tends to heat electrons preferentially...)



* In situ solar wind observations support this picture, but large- k_{\parallel} fluctuations are **also** seen (e.g., Leamon et al. 1998, 2000).

Studies of (multiple harmonic) ion cyclotron resonance with highly *oblique* ($\mathbf{k} \cdot \mathbf{B} \approx 0$) waves are underway....

Conclusions

- ★ Departures from Maxwellian velocity distributions are crucial probes of (*still unknown*) heating and acceleration mechanisms in coronal holes.
- * We still don't know several key plasma parameters (e.g., T_e and T_p) with sufficient accuracy!
 - \Rightarrow Future space-borne spectroscopy of the corona
 - \Rightarrow NASA's *Solar Probe* mission . . . ?
- * To make progress in theoretical modeling:



Generation and nonlinear evolution of the solar wind **fluctuation spectrum** must be understood.

Self-consistent **kinetic models** (corona \rightarrow wind) of protons, electrons, and ions are needed.



- ★ Future models must predict the properties of **many minor ion species**, because these may be the only means of distinguishing between competing models that, e.g., predict the *same* bulk plasma heating rates!
- * The lines of communication must be kept open between:

"solar physicists" (1 to 1.5 R_{\odot}), "coronagraphers" (1.2 to 20 R_{\odot}), and "space physicists" (60 to 10,000 R_{\odot}) !

```
★ For more information:
```

http://cfa-www.harvard.edu/~scranmer/

Selected References

- Axford, W. I., & McKenzie, J. F. 1992, in Solar Wind 7 (COSPAR Coll. Ser. 3), 1
- Axford, W. I., & McKenzie, J. F. 1997, in Cosmic Winds and the Heliosphere, (U. Arizona Press, Tucson), 31
- Banaszkiewicz, M., Axford, W. I., & McKenzie, J. F. 1998, Astron. Astrophys., 337, 940
- Bromage, B. J. I., et al. 2000, Solar Phys., 193, 181
- Chapman, S. 1954, Ap.J., 120, 151
- Collier, M. R., et al. 1996, Geophys. Res. Letters, 23, 1191
- Cranmer, S. R., Field, G. B., & Kohl, J. L. 1999a, Ap.J., 518, 937
- Cranmer, S. R., et al. 1999b, Ap.J., 511, 481
- Cranmer, S. R. 2000, Ap.J., 532, 1197
- Cranmer, S. R. 2001, J. Geophys. Res., 106, 24937
- David, C., Gabriel, A. H., Bely-Dubau, F., Fludra, A., Lemaire, P., & Wilhelm, K. 1998, A&A, 336, L90
- DeForest, C. E., et al. 1997, Solar Phys., 175, 393
- DeForest, C. E., Plunkett, S. P., and Andrews, M. D. 2001, Ap.J., 546, 569
- Esser, R., et al. 1999, Ap.J. Letters, 510, L63
- Feldman, W. C., & Marsch, E. 1997, in Cosmic Winds and the Heliosphere, (U. Arizona Press, Tucson), 617
- Fisher, R. R., & Guhathakurta, M. 1995, Ap.J., 447, L139
- Foley, C. R., Culhane, J. L., & Acton, L. W. 1997, Ap.J., 491, 933
- Galinsky, V. L., & Shevchenko, V. I. 2000, Phys. Rev. Letters, 85, 90
- Goldstein, M. L., Roberts, D. A., & Matthaeus, W. H. 1995, Ann. Reviews Astron. Astrophys., 33, 283
- Grall, R. R., Coles, W. A., Klingelsmith, M. T., Breen, A., Williams, P. J., Markkanen, J., and Esser, R. 1996, Nature, 379, 429
- Guhathakurta, M., & Holzer, T. E. 1994, Ap.J., 426, 782
- Hansteen, V. H., Leer, E., & Holzer, T. E. 1997, Ap.J., 482, 498
- Hassler, D. M., Dammasch, I. E., Lemaire, P., et al. 1999, Science, 283, 810
- Hollweg, J. V. 1999, J. Geophys. Res., 104, 24781

- Hollweg, J. V., & Turner, J. M. 1978, J. Geophys. Res., 83, 97
- Isenberg, P. A., Lee, M. A., & Hollweg, J. V. 2000, Solar Phys., 193, 247
- Kennel, C. F., & Engelmann, F. 1966, Phys. Fluids, 9, 2377
- Ko, Y.-K., et al. 1997, Solar Phys., 171, 345
- Kohl, J. L., et al. 1997, Solar Phys., 175, 613
- Kohl, J. L., et al. 1998, Ap.J. Letters, 501, L127
- Kohl, J. L., et al. 1999, Ap.J. Letters, 510, L59
- Krieger, A. S., Timothy, A. F., & Roelof, E. C. 1973, Solar Phys., 29, 505
- Leamon R. J., Smith, C. W., Ness, N. F., Matthaeus, W. H., & Wong, H. K. 1998, J. Geophys. Res., 103, 4775
- Leamon R. J., Matthaeus, W. H., Smith, C. W., Zank, G. P., Mullan, D. J., & Oughton, S. 2000, Ap.J., 537, 1054
- Li, X., Habbal, S. R., Kohl, J. L., & Noci, G. 1998, Ap.J. Letters, 501, L133
- Marsch, E. 1999, Space Sci. Rev., 87, 1
- Matthaeus, W. H., Zank, G. P., Oughton, S., Mullan, D. J., & Dmitruk, P. 1999, Ap.J. Letters, 523, L93
- Parker, E. N. 1958, Ap.J., 128, 664
- Rowlands, J., Shapiro, V. D., & Shevchenko, V. I. 1966, Soviet Physics JETP, 23, 651
- Seely, J. F., Feldman, U., Schühle, U., Wilhelm, K., Curdt, W., & Lemaire, P. 1997, Ap.J. Letters, 484, L87
- Tu, C.-Y., & Marsch, E. 1995, Space Sci. Rev., 73, 1
- Tu, C.-Y., & Marsch, E. 1997, Solar Phys., 171, 363
- Tu, C.-Y., Marsch, E., Wilhelm, K., & Curdt, W. 1998, Ap.J., 503, 475
- Tziotziou, K., Martens, P. C. H., & Hearn, A. G. 1998, A&A, 340, 203
- Waldmeier, M. 1957, *Die Sonnenkorona* 2, Verlag Birkhäuser, Basel.

Waldmeier, M. 1975, Solar Phys., 40, 351

Wilcox, J. M. 1968, Space Sci. Rev., 8, 258

Wilhelm, K., Marsch, E., Dwivedi, B. N., Hassler, D. M., Lemaire, P., Gabriel, A. H., & Huber, M. C. E. 1998, Ap.J., 500, 1023

FOR MORE INFORMATION, CONTACT: Steven R. Cranmer (scranmer@cfa.harvard.edu) http://cfa-www.harvard.edu/~scranmer/